


1c879 U.S. PTO  
05/30/01

05-31-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	YAMAP0763US
First Inventor	Sasaki et al.
Title	INFORMATION RECORDING MEDIUM...
Express Mail Label No.	EF232845199US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 8 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets 19 ]
- Oath or Declaration [ Total Pages ]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☒ Other: Letter Identifying Inventors & Certificate of Mailing

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

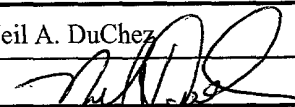
Prior application information. Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label  or ☐ Correspondence address below

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Name (Print/Type)	Neil A. DuChez	Registration No. (Attorney/Agent)	26,725
Signature		Date	May 30, 2001

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

1c997 U.S. PTO  
09/870405  
05/30/01

### CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date May 30, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EF232845199US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Janet Farr

Typed or Printed Name of Person Mailing Paper

Janet Farr

(Signature of Person Mailing Paper)

YAMAP0763US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of :  
Sasaki et al. : Group Art Unit:  
Express Mail No.: EF232845199US : Examiner  
Filed: May 30, 2001 :

For: INFORMATION RECORDING MEDIUM, INFORMATION RECORDING METHOD,  
INFORMATION RECORDING APPARATUS, INFORMATION REPRODUCING  
METHOD, AND INFORMATION REPRODUCING APPARATUS

**LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The attached application is submitted herewith absent a Combined Declaration and Power of Attorney in accordance with 37 CFR §1.53(b) and (d). The inventors are as follows:

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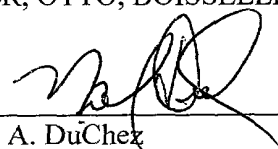
Yoshihisa Fukushima  
14-C-508, Sekime 6-chome, Joto-ku, Osaka-shi  
Osaka 536-0008 Japan

It is requested that the Patent Office direct all correspondence regarding this application to:

Neil A. DuChez  
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Respectfully submitted,  
RENNER, OTTO, BOISSELLE & SKLAR, LLP

By

  
Neil A. DuChez  
Reg. No. 26, 725

YAMAP0763US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
:   
Sasaki et al. : Art Unit:  
:   
Express Mail: EF232845199US : Examiner:  
:   
Filed: May 30, 2001 :

For: INFORMATION RECORDING MEDIUM, INFORMATION RECORDING  
METHOD, INFORMATION RECORDING APPARATUS, INFORMATION  
REPRODUCING METHOD, AND INFORMATION REPRODUCING APPARATUS

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF CERTIFIED COPY**

Attached please find the certified copy of the foreign application from which  
priority is claimed for this case:

Country: Japan  
Application Number: 2000-163829  
Filing Date: May 31, 2000

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY

Reg. No. 26,725

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